

**Cooley Godward LLP**

ATTORNEYS AT LAW

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DATE: January 21, 2003

PLEASE DELIVER TO:	PHONE NO.:	FAX NO.:
Examiner Li US Patent and Trademark Office		Washington, D.C. 20231

FROM: Dahna S. Pasternak PHONE: (650) 843-5608 REPLY FAX: (650) 857-0663

RE: Supplemental Amendment in response to RCE mailed Nov. 4, 2003.

NUMBER OF PAGES, INCLUDING COVER PAGE: 12	Client Number: 2300-1593 Serial No. 09/551,977
	Requestor #: 12452

**MESSAGE:**

Please see attached.

If you do not receive all of the pages, please call  
Diane Kizer for Dahna S. Pasternak at (650) 843-5610 as soon as possible.

Rev. 11/19/2001

Attorney Docket No. 2300-1593

PATENT

I hereby certify that this correspondence is being transmitted by facsimile addressed to Examiner Li, Art Unit 1648, Facsimile Number 703-746-7454, at United States Patent and Trademark Office, Washington, D.C. 20231 on January 21, 2003.

By: *Diane Kizer*  
Diane Kizer

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of POLO et al.

Serial No.: 09/551,977

Examiner: B. Li

Confirmation No.:

Art Unit: 1648

Filed: April 14, 2000

For: COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE  
RESPONSE UTILIZING ALPHA VIRUS-BASED VECTOR SYSTEMS

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF RESPONSE**

Enclosed are the following documents in response to the RCE mailed November 4, 2002 for the above-identified application:

- |  |   |                                       |
|--|---|---------------------------------------|
| <input checked="checked" type="checkbox"/> | Amendment/Response                      |                                       |
| <input type="checkbox"/>                   | Petition for Extension of Time          |                                       |
| <input type="checkbox"/>                   | Request for Approval of Drawing Changes |                                       |
| <input type="checkbox"/>                   | Information Disclosure Statement        |                                       |
| <input type="checkbox"/>                   | Notice of Appeal                        |                                       |
| <input type="checkbox"/>                   | Associate Power                         |                                       |
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Rev. 11/19/2001

Attorney Docket No. 2300-1593

Serial No. 09/551,977

Page 2

The fee has been calculated as follows:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Total Claims		- 20 =		x \$18.00	
Independent Claims		- 3 =		x \$84.00	
If multiple dependent claims are presented, add \$280.00					
Total Amendment Fee					
If small entity status is applicable, subtract 50% of Total Amendment Fee					
Other fees: (specify)					
<b>TOTAL FEE DUE</b>					

☐ A check for the total fee is attached.☐ Please charge \$ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

PA

Dated: 21 Jan 03

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Respectfully submitted,  
COOLEY GODWARD LLP

By: *Dahna S. Pasternak*

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Amdt D

1/24/03

I hereby certify that this correspondence is being transmitted by fax to Examiner Li at 703-746-7454 on  
January 21, 2003.

1/21/03

Date

Diane Kizer

Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

POLO et al.

Serial No.: 09/551,977

Art Unit: 1648

Filing Date: April 14, 2000

Examiner: B. Li

Title: COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE RESPONSE  
UTILIZING ALPHAVIRUS-BASED VECTOR SYSTEMS

**SUPPLEMENTAL AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This supplemental amendment is filed after the filing of an RCE on November 4, 2002. Applicants thank Examiner Li for telephoning the undersigned on January 15, 2003 to discuss the application. During these conversations, it was agreed that the application would be in condition for allowance upon receipt of the following amendments.